

Pirate Auditors

**Office of Internal Audit and
Management Advisory Services**

FY 2022 Annual Report

Our Core Values...

Selflessness

Ownership

Unity

Larger Purpose

Pirate Auditor Facts

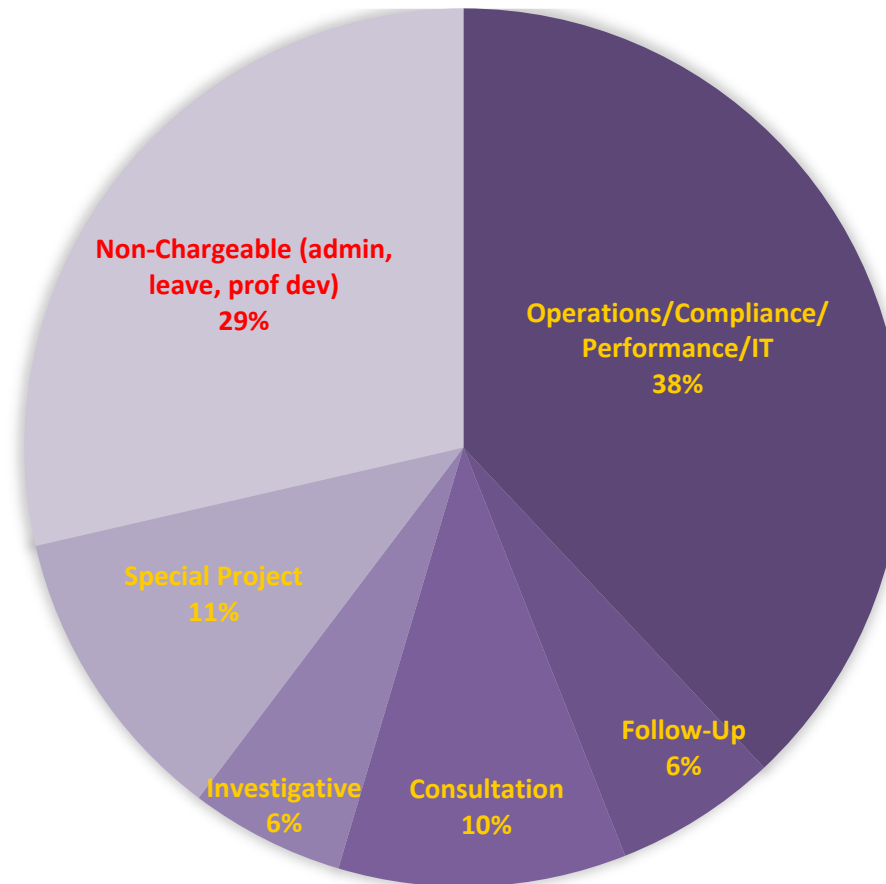
- Eight FTEs + One intern
 - CAO, six auditors/supervisors, one program specialist
- Eight advanced degrees (MSA, MBA)
- 11 professional certifications (CPA, CIA, CFE, CISA, CHC, CHRC)
- Over 110 years combined audit experience

FY 2022 by the Numbers

- Audit Plan Completion: **90.9%** (target is $\geq 80\%$)
- Auditor Productivity (chargeable hours): **75.8%**
(target is $\geq 75\%$)
- Projects completed: 50
- Formal (reportable) recommendations: 93
- Monetary recovery and cost savings ID'd:
\$91,755* (other recommendations will increase efficiency and save costs over time; not yet quantifiable)
- Hotline Triage: **23**
- Consultations: **102**
- Committees/Workgroups: **18**

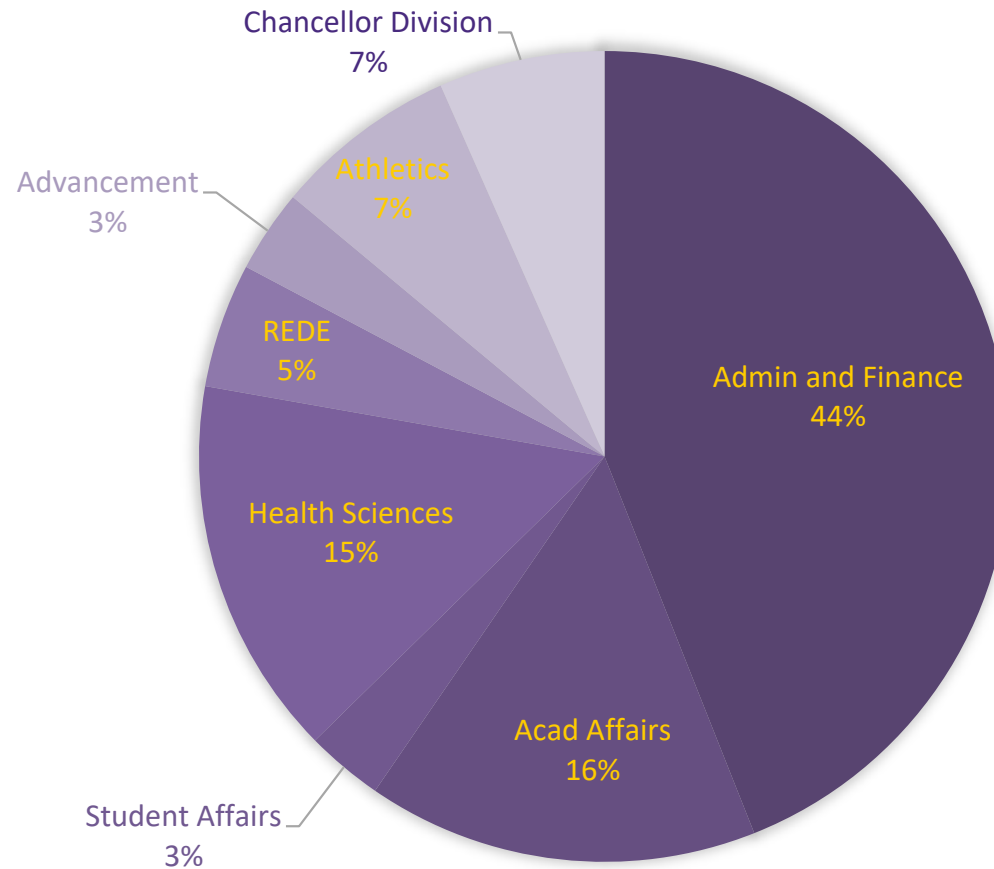
How we spent our time

Total Annual Hours by Project Type (Entire team – all positions)



Who We Served –

Percentage of chargeable time by Division



Key Accomplishments

- Executed a very ambitious audit plan
- Covered high risk and high opportunity areas (pandemic relief funding, cybersecurity, healthcare, research, expenses/resources/fiscal responsibility)
- Assisted numerous external auditors
- Led development of University-wide Code of Conduct
- Helped facilitate the ERM Committee
- Developed new analytics tools for management
- Continued our strong relationships with other ECU compliance professionals

Committees & Service to the University

Just a small sample...

- ERM Committee coordination
- AICFR
- HIPAA
- Data Stewardship
- Data Analytics Workgroup
- Flexible and Remote Work Committee
- PCI Compliance
- Research Compliance
- BSOM Compliance
- SACS Accreditation workgroups
- Youth Programs Advisory Board
- University Policy Committee

Service to the State & Profession

Just a small sample...

- Statewide workgroup on IA productivity measures
- UNC Auditors Association
- Guest lecturers for ECU College of Business students

Education and Outreach to Campus

(Internal controls, risk, compliance, fraud, the role of IA)

- Financial Services Workshop
- New Deans and Directors Orientation
- Materials for New Employee Orientation
- Intro to IA for CoB Accounting Students

Community Service and Support

- Ronald McDonald House of ENC
- ALS Association
- Humane Society of Eastern Carolina
- United Way of Pitt County
- Greenville Community Shelters

Disclosures (per IIA Standards)

During FY 2022 we have the following to report:

- No impairments to IA's independence or objectivity
- No instances where a specific engagement did not conform to the *Standards*
- No instances of management acceptance of risks that must be reported to the Board of Trustees

Feedback from our Clients...

“Thank you and your team for the great work we have all become accustomed to each and every day.”

Feedback from our Clients...

“You and your team do impressive work.”

Feedback from our Clients...

“Your team has to become an expert in so many things around this institution...and you really are experts. We really appreciate your input.”

Feedback from our Clients...

“Please know that I have so enjoyed working with you and your staff. We have a great partnership and have worked through many items of concern along the way.”

Feedback from our Clients...

“[The auditor] listened, showed empathy, was pleasant to work with, and truly wanted to help us improve. I was surprised. Thank you!”

Feedback from our Clients...

“I appreciate the professionalism of your team and their suggestions throughout the process.”

Feedback from our Clients...

“We have enjoyed working with you to further understand and strengthen [our program]. Thank you for being a great resource on campus and making auditing enjoyable”

Feedback from our Clients...

“We are grateful for your team’s patience and understanding throughout the process...It was nice to work with such a helpful and responsive group.”

Pirate Auditors

*Serving with excellence and
the SOUL of a championship team!*