Pirate Auditors
FY 2019 Year in Review
FY 19 by the Numbers

- Audit Plan Completion: **90.4%** (target is ≥80%)
- Auditor Productivity: **75.11%** (target is ≥75%)
- Projects Completed: 47
- Recommendations: 79
- Direct Monetary Recovery: ~ $73k
- Hotline Triage: 29
- Consultations: 154 (approx 10% of team’s hours)
- Committees/Workgroups: 18
- Search Committees: 5
How we spent our time

- Direct/Chargeable Hours (entire team, including non-auditor position): 71%
  - Ops/Compliance/IT/Integrated Audits: 31%
  - Special Projects: 14%
  - Investigative Audits/Hotline Triage: 12%
  - Consults: 10%
  - Follow-up/Action Plans Tracking: 4%
Key Accomplishments

- Finished AutoAudit implementation
  - Risk Module/Audit Universe
  - Action Plan tracking
- Grew our data analytics capability
  - Additional software licenses
  - First robust use of IDEA
  - Provided management with tools for continuous monitoring – PCard, AP, HR
- Performed meaningful, outside the box engagements – such as Distributed IT Controls
Key Accomplishments

- Strengthened comm with other ECU risk and compliance units
- Co-sponsored UNCAA conference
- Presented on data analytics at ACUA national conference
- Presented on data analytics to other state agencies – led to state’s selection of Tableau as the statewide audit analytics software
- Drafted University Code of Conduct and Investigative Responsibilities Regulation
Key Accomplishments

- Re-structured the team
- Brought in an outstanding new team member
- One auditor completed the MSA program
- Three team members completed or made significant progress towards new certifications – CHRC, CIA, data analytics certificate
Community Service

- Served meals at JOY Soup Kitchen
- Collected Toys for Tots
- Collected food, water, and supplies for hurricane relief in Eastern NC
- Participated in Storm the Stadium to raise veterans’ scholarship funds
Feedback from our Clients...

“Thank you...for a very beneficial and well-run process. We appreciate and will benefit from your effort and our involvement in the process.”
Feedback from our Clients...

“I have ALWAYS found our internal auditing staff excellent to work with! They tell it to us straight on any issues that are found so that we can get them addressed... and I truly appreciate that! I feel like we are a good team [with IA]”
Feedback from our Clients...

“I am proud of the way your team is positively viewed across the entire University. You are often asked to deal with negative or thorny issues, but your integrity, work ethic, and commitment to ECU and its mission is never in doubt.”
What’s Next – FY 2020

- New year, new audit plan
- New bosses (Chancellor, BOT, Chief of Staff)
- Leaner budgets (money, but also time)
- Special Projects
  - Assurance Map
  - Strategic Plan
  - Training for campus (orientation and other)
- AutoAudit upgrade and new capabilities
What’s Next – FY 2020

- Information sharing and team building
- Soft skills development (yes, still...we are servant leaders)
- Additional team member certifications and continued development
- Community Service
What’s Next – Bottom Line

• Continued focus on agility, responsiveness, and activities that add clear value for the University and its stakeholders
• Continue to link our work to the institution’s strategy and goals